



Dr. Fabian Schmid

Partner – Regulatory & Compliance Financial Services

Role

Fabian Schmid is the head of the Regulatory & Compliance Financial Services division.

Professional experience

Fabian Schmid has around 20 years of professional experience in financial markets law. Together with his team, he supports the auditors of the Audit Financial Services division. Additionally, he works as a consultant and expert in the area of Regulatory & Compliance Financial Services, with a particular focus on topics such as the Swiss Banking Act (BankA), the Financial Institutions Act (FinIA), the Financial Services Act (FinSA), the Collective Investment Schemes Act (CISA), the Anti-Money Laundering Act (AMLA), and corporate governance. His work primarily focuses on providing compliance services to small and medium-sized banks as well as asset managers. Furthermore, he has developed tailored outsourcing services in the areas of internal control systems (ICS), compliance, and risk management for asset managers. In the past, he has led various FINMA investigation mandates and special audits in the banking sector.

Professional qualifications and memberships

Fabian Schmid earned his doctorate in law (Dr. iur.) from the University of Bern with a dissertation in the field of financial market law and asset management law. He regularly publishes on regulatory topics and speaks at public events and seminars on financial market law.

Languages

In addition to his native language of German, Fabian Schmid is fluent in English and speaks very good French and Spanish.

Contact

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