

Advisory Financial Services

Consultancy services that generate real added value for financial service providers.



Globally networked

Grant Thornton Switzerland/Liechtenstein is a well-known auditing and consulting company with a well-established tax department and extensive experience in the area of outsourcing. With offices in Zurich, Schaan, Lausanne, Geneva und Buchs (St. Gallen), we have strong local roots, yet being part of the Grant Thornton international network we are globally networked.

Offering a wide range of services, we help dynamic companies unleash their growth potential. Thanks to the size of our organisation as well as the licences and concessions we hold, we are able to satisfy our clients' demands both in a national and international context while at the same time remaining small

and agile enough to respond flexibly to their needs.

"An instinct for growth" is our performance pledge to our customers. A feeling for the winds of change combined with practical expertise and a sound grasp of your particular business and the market makes us the ideal partner in these uncertain and fast-moving times.

Understanding change as an opportunity

At Grant Thornton Switzerland/ Liechtenstein you as a financial service provider benefit from our advisory expertise garnered over many years: Our advisers know the financial services industry inside out, all having accumulated invaluable experience from years spent with banks, asset management and insurance companies or technology enterprises related to these sectors.

Working together with you, we rigorously exploit the opportunities thrown up by the radical market changes taking place in the financial services industry thereby generating valuable potential for you. We do this by not regarding new regulatory requirements as hindrances but rather by adapting, repositioning and enhancing the efficiency of business processes and models. This has the benefit of keeping regulatory costs low and also creating new earnings potential while still observing compliance. At the same time we provide you with feasible and

measurable solutions tailored precisely to your particular needs which can be seamlessly integrated into your operational processes and the culture of your organisation, thus creating sustainable added value. In order to keep an eye on all relevant business and risk parameters, efficiently provide reports and in particular to manage

your risks so these can be exploited to create opportunities, we assist you in putting a comprehensive risk management system in place and align it with the individual risk appetite of your organisation.

Whether you are a bank, insurance company or asset management

company, FinTech, energy or commodity trader, your enterprise, your visions and your customers are central to our work and our solutions: In times of radical market changes, we help you to develop your growth potential to its fullest and be a leader in the new digital markets.



Our services in the area of Advisory Financial Services:

- Banking, insurance, energy trading and financial market law including supervisory law
- Regulatory transformation, risk-based and legally compliant operationalisation of regulatory requirements (e.g. MiFID II/MIFIR recovery, Financial Services Act (FIDLEG)/Financial Institutions Act (FINIG), EMIR/Financial Market Instructure Act (FinfraG), CRD IV, FINMA Circulars PRIIP, PSD II, DGSD, AML3-4, AnaCredit, IDD, Solvency II etc.)
- Governance, risk and compliance (e.g. executive body structures, ICS, risk and regulatory management, accounting, Basel III/IV, Liquidity Ordinance, ERV, CRD IV, LCR, NSFR)
- Bank client confidentiality, data protection, data security and cyber security
- Product-related, operational and sales compliance
- Out/insourcing of the compliance function

- Digital/automated compliance, big data and predictive analytics
- Money laundering law, supervision, integrity and prevention incl. crypto currencies
- Embargo & sanctions control
- Control reports, concept and scenario testing, certifications based on international standards
- Corporate/legal entity simplification, restructuring and reorganisation, recovery and resolution planning
- Turnaround, change and crisis management
- Wind-down and liquidation
- Digital transformation, legally compliant use of new technologies (e.g. client authentication, Blockchain, crowdfunding/insurance, smart contracts)
- Enterprise performance management, efficiency improvement, target operating model
- · Coaching and compliance training

Contact

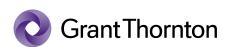


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